

**Open Report on behalf of Andrew Crookham, Executive Director -
Resources**

Report to:	Audit Committee
Date:	28 September 2020
Subject:	Risk Management Progress Report - September 2020

Summary:

The Committee is responsible for overseeing the effectiveness of the Council's risk management arrangements, challenging risk information and escalating issues to the Executive.

The Committee needs to gain assurance that the systems and processes in place enable decision makers to understand the level of risk being taken and the Council is prepared to accept.

In addition, the Committee have responsibility to monitor effective development and operation of risk management and corporate governance in the Council.

This report assists the Committee in fulfilling that role, by providing an update on how well the Council's biggest risks are being managed.

Recommendation(s):

- (1) That the Committee notes the current status of the strategic risks facing the Council.
- (2) To make recommendations on any further scrutiny required.

Background

In accordance, with the risk management strategy and to ensure effective monitoring - all the strategic risks have been reviewed with risk owners and assurances obtained that the strategic risks are being managed effectively.

The report also provides:

- an update on the activities being undertaken to support the Council in developing a culture of being 'creative and aware of risk' - in line with the agreed appetite
- the key strategic and operational issues facing the Council since the last update in February 2020.

Conclusion

Overall, the Council's strategic risks continue to be managed pro-actively. There is a good level of awareness of the current and emerging risks, with positive action being taken, where appropriate.

Activity on the action plan from the Governance review is progressing well.

To maintain high levels of assurance all risks, strategic and operational, need to be regularly reviewed by the risk owners and updates provided.

Consultation

a) Have Risks and Impact Analysis been carried out?

Yes

b) Risks and Impact Analysis

Any changes to services and projects are subject to an Equality Impact Analysis. The considerations of the contents and subsequent decisions are all taken with regard to existing policies.

Appendices

These are listed below and attached at the back of the report	
Appendix A	Risk Management Progress Report – September 2020
Appendix 1	Extract of CLT Report on Red Risks and Issues
Appendix 2	Risk and Safety Governance Action Plan V3
Appendix 3a	Strategic Risks - Heat Map
Appendix 3b	Strategic Risk Register

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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